

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: A. David Shay Confirmation No.: To Be Assigned
Appl No.: To Be Assigned Group Art Unit: 2131
Filed: Concurrently Filed Herewith Examiner: To Be Assigned
For: SYSTEM, APPARATUSES, METHODS, AND COMPUTER-READABLE
MEDIA USING IDENTIFICATION DATA IN PACKET COMMUNICATIONS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT
CITATION UNDER 37 C.F.R. § 1.97**

Attached is a list of documents on form PTO-1449.

It is requested that the Examiner consider these documents and officially make them of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section 609 of the MPEP. By submitting the listed documents, Applicant in no way makes any admission as to the prior art status of the listed documents, but is instead submitting the listed documents for the sake of full disclosure.

All items were supplied in Application No. 10/065,775 filed November 18, 2002. Since the benefit of this application was claimed under 35 U.S.C. 120, no copies need to be furnished in accordance with 37 C.F.R. 1.98(d); however, copies will be furnished on request.

Respectfully submitted,

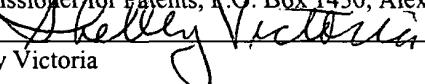


Jon M. Jurgovan
Registration No. 34,633

Customer No. 00826
ALSTON & BIRD LLP
Bank of America Plaza
101 South Tryon Street, Suite 4000
Charlotte, NC 28280-4000
Tel Atlanta Office (404) 881-7000
Fax Atlanta Office (404) 881-7777

"Express Mail" mailing label number EV 156374488 US
Date of Deposit August 19, 2003

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to:
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450


Shelley Victoria

Substitute for form 1449/PTO
(Revised 04/2003)

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Use as many sheets as necessary)

Sheet

1

of

3

Complete if Known

Application Number	To Be Assigned
Filing Date	Concurrently Filed Herewith
First Named Inventor	A. David Shay
Group Art Unit	2131
Examiner Name	To Be Assigned
Attorney Docket Number	047322/268165

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No.	Document Number Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages of Relevant Figures Appear
	1	US-5,796,942 B1	08-18-1998	Esbensen.	
	2	US-5,802,178 B1	09-01-1998	Holden, et al.	
	3	US-5,872,847 B1	02-16-1999	Boyle, et al.	
	4	US-6,219,786 B1	04-17-2001	Cunningham, et al.	
	5	US-6,275,942 B1	08-14-2001	Bernhard, et al.	
	6	US-6,279,113 B1	08-21-2001	Vaidya	
	7	US-6,317,831 B1	11-13-2001	King	
	8	US-6,363,489 B1	03-26-2002	Comay, et al.	
	9	US-6,370,648 B1	04-09-2002	Diep	
	10	US-6,408,391 B1	06-18-2002	Huff, et al.	
	11	US-2001/0023482 A1	09-20-2001	Wray	
	12	US-2001/0054159 A1	12-20-2001	Hoshino	
	13	US-2002/0029337 A1	03-07-2002	Sudia, et al.	
	14	US-2002/0078202 A1	06-20-2002	Ando, et al.	
	15	US-2002/0078354 A1	06-20-2002	Sandhu, et al.	
	16	US-2002/0083343 A1	06-27-2002	Crosbie, et al.	
	17	US-2002/0087882 A1	07-04-2002	Schneier, et al.	
	18	US-2002/0107953 A1	08-08-2002	Ontiveros, et al.	
	19	US-2002/0112185 A1	08-15-2002	Hodges	
	20	US-2002/0129264 A1	09-12-2002	Rowland, et al.	
	21	US-2002/0133586 A1	09-19-2002	Shanklin, et al.	
	22	US-2002/0133698 A1	09-19-2002	Wank	
	23	US-2002/0133721 A1	09-19-2002	Adjaoute	
	24	US-2002/0136407 A1	09-26-2002	Denning, et al.	

Examiner Signature	Date Considered
--------------------	-----------------

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute for form 1449/PTO
(Revised 04/2003)

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Use as many sheets as necessary)

Sheet

1

of

3

		Complete if Known	
Application Number	To Be Assigned		
Filing Date	Concurrently Filed Herewith		
First Named Inventor	A. David Shay		
Group Art Unit	2131		
Examiner Name	To Be Assigned		
Attorney Docket Number	047322/268165		

OTHER DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	English Language Translation Attached
	25	BARHAM, et als., "Techniques for Lightweight Concealment and Authentication in IP Networks," Intel Research Berkeley, July 2002, pgs.1-12, IRB-TR-02-009, Intel Corp., U.S.A.	
	26	PHIFER, "Cost-Effective Remote End Point Protection: Against Trojans, Spyware, and Other Pests," PestPatrol White Paper, 9/01/2002, pgs. 1-11	
	27	SUNGARD, "Welcome to the Info Security Performance Model," SunGard Assessment Tool, 9/01/2002, pgs. 1-2	
	28	WESTBRIDGE, "XML Web Services Security – Going Production," Westbridge White Paper, 9/01/2002, pgs. 1-7	
	29	TOP LAYER, "Stopping Attacks: The Importance of Denial of Service (DoS) Security Appliances," Top Layer White Paper, pgs. 1-13	
	30	INTERNET SECURITY, "Complete Desktop Protection for The Enterprise," ISS Technical White Paper, 9/01/2002, pgs. Cover, 1-6	
	31	INTERNET SECURITY, "Web Application Protection: Using Existing Protection Solutions," ISS Technical White Paper, 7/01/2002, pgs. Cover, 1-6	
	32	TOP LAYER, "Preventing Cyber Attacks and Costly Network Degradation," Top Layer Webcast, 5/30/2002, pgs. 1-13 (slides); pgs 1-15- (transcript)	
	33	OKENA, "A Primer on Preventing Attacks at the Host – OKENA, Inc. – Hurwitz Report," Hurwitz Analyst White Paper, 2/01/2002, pgs. 1-11	
	34	BLUE LANCE, "Protecting Computer-Managed Assets: Building a Secure Infrastructure for Business," Larstan Business Reports, 1/01/2002, pgs. 1-10	
	35	OKENA, "Technology Best Practices for Intrusion Prevention," Okena Analyst White Paper, 1/01/2002, pgs. 1-3	
	36	Computel, "Distributed Denial of Service Attacks," Network Security White Papers, 12/06/2000, pgs. 1-6	

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute for form 1449/PTO
(Revised 04/2003)

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Use as many sheets as necessary)

Sheet

2

of

3

Complete if Known

Application Number	To Be Assigned
Filing Date	Concurrently Filed Herewith
First Named Inventor	A. David Shay
Group Art Unit	2131
Examiner Name	To Be Assigned
Attorney Docket Number	047322/268165

OTHER DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	English Language Translation Attached
	37	LEITHAUSER, "Fire Guardian," KnowledgeStorm Solution Detail Report, 2002, pgs. 1-2	
	38	LOCKSTEP, "WebAgain—Automatic Web Site Repair," KnowledgeStorm Solution Detail Report, 2002, pgs. 1-4	
	39	KOBELT, "NetSentron, KnowledgeStorm Solution Detail Report, 2002, pgs. 1-3	
	40	INTERNET SECURITY, "RealSecure® SiteProtector for Enterprise Protection," KnowledgeStorm Solution Detail Report, 2002, pgs. 1-5	
	41	INTERNET SECURITY, "BlackICE PC Protection," KnowledgeStorm Solution Detail Report, 2002, pgs. 1-5	
	42	INTERNET SECURITY, "System Scanner®," KnowledgeStorm Solution Detail Report, 2002, pgs. 1-5	
	43	INTERNET SECURITY, "Internet Scanner™," KnowledgeStorm Solution Detail Report, 2002, pgs. 1-6	
	44	DYNAMICS, "WebInspect™ (Web Application Security Assessment)," KnowledgeStorm Solution Detail Report, 2002, pgs. 1-5	

ATL01/11489192v1

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.